

IN THE
UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Theodore Kamins, et al.

Confirmation No.:

Application No.:

Examiner:

Filing Date: Filed Herein

Group Art Unit:

Title: Method of Forming Three-Dimensional Nanocrystal Array

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Sir:

This Information Disclosure Statement is submitted:

under 37 CFR 1.97(b), or
(Within three months of filing national application; or date of entry of national application; or before mailing date of first office action on the merits; whichever occurs last)

under 37 CFR 1.97(c) together with either a:
 Statement under 37 CFR 1.97(e), or
 a \$180.00 fee under 37 CFR 1.17(p), or
(After the CFR 1.97 (b) time period, but before final action or notice of allowance, whichever occurs first)

under 37 CFR 1.97 (d) together with a:
 Statement under 37 CFR 1.97(e)(1) or (2), and
 a \$180.00 fee set forth in 37 CFR 1.17(p).
(Filed after final action, a notice of allowance, on or before payment of the issue fee)

Please charge to Deposit Account **08-2025** the sum of \$0.00. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account **08-2025** pursuant to 37 CFR 1.25.

(X) Applicant(s) submit herewith Form PTO 1449 - Information Disclosure Statement together with any required copies of patents, publications or other information of which applicant(s) are aware, which applicant(s) believe(s) may be material to the examination of this application and for which there may be a duty to disclose in accordance with 37 CFR 1.56.

() A concise explanation of the relevance of foreign language patents, foreign language publications and other foreign language information listed on PTO Form 1449, as presently understood by the individual(s) designated in 37 CFR 1.56 (c) most knowledgeable about the content is given on the attached sheet, or where a foreign language patent is cited in a search report or other action by a foreign patent office in a counterpart foreign application, an English language version of the search report or action which indicates the degree of relevance found by the foreign office is listed on form PTO 1449 and is enclosed herewith.

It is requested that the information disclosed herein be made of record in this application.

"Express Mail" label no. **EL 968391560**

Date of Deposit **10/21/2003**

I hereby certify that this is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, Alexandria, VA 22313-1450.

By Myra Christeck

Typed Name: Myra Christeck

Respectfully submitted,

Theodore Kamins, et al.

By David W. Collins

David W. Collins

Attorney/Agent for Applicant(s)
Reg. No. **26,857**

Date: **Oct. 21, 2003**

Telephone No.: **(520) 399-3203**

FORM PTO-1449 LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)	ATTY. DOCKET NO. 200209306-1	APPLICATION NO.	CONFIRMATION NO.
	APPLICANT Kamins, et al.		
	FILING DATE Filed Herein	GROUP	

REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	PUBLICATION DATE	NAME	Pages, Columns, Lines Where Relevant Passages or Figures Appear
1A				
1B				
1C				
1D				
1E				
1F				
1G				
1H				
1I				
1J				
1K				

FOREIGN PATENT DOCUMENTS

	DOCUMENT NUMBER	PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT	Pages/Columns/Lines Where Relevant Passages/Figures Appear	Check if Translation attached
1L					
1M					
1N					
1O					
1P					

OTHER REFERENCES (including Author, Title, Date, Pertinent Pages, etc.)

1Q	Gudlksen, Mark S., et al., "Growth of Nanowire Superlattice Structures for Nánoscale Photonics and Electronics," Letters to Nature, Nature, Vol. 415, 7 February 2002, pp. 617-620.
1R	Bjork, M. T., et al., "One-dimensional Steeplechase for Electrons Realized," Nano Letters, Vol. 2, No. 2, pp. 87-89, American Chemical Society. Published on the Web on 19 January 2002.
1S	

EXAMINER

DATE CONSIDERED